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on 28 JAN 11 2002


Julie M. Balot

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Michael G. Kahn et al.	Attorney Docket No.: FSTK 1004-1US
Application No.: 09/974,781	Examiner: Unknown
Confirmation No.: 8124	Group: 2166
Filed: October 10, 2001	ATTN: Initial Patent Examination Division
Title: CLINICAL TRIAL PROTOCOL QUALITY USING A MODEL-BASED METHODOLOGY	

SUBMISSION OF SUBSTITUTE DRAWINGS UNDER 37 CFR 1.84

BOX MISSING PARTS
Commissioner for Patents
Washington, DC 20231

Sir:

Pursuant to the enclosed Notice to File Missing parts of Nonprovisional Application
dated October 26, 2001, applicants hereby submit forty-one (41) sheets of substitute drawings.

Respectfully submitted,

Date: 1/28/2002


Warren S. Wolfeld, Reg. No. 31,454

HAYNES BEFFEL & WOLFELD LLP
P.O. Box 366
Half Moon Bay, California 94019
Phone: 650-712-0340
Fax: 650-712-0263



CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail to: Box Missing Parts, Commissioner for Patents, Washington, DC 20231 on 2002 January 10, 2002.

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RESPONSE TO NOTICE OF MISSING PARTS OF APPLICATION

BOX MISSING PARTS
Commissioner for Patents
Washington, DC 20231

Sir:

In response to the Notice to File Missing Parts of Application dated May 16, 2001, enclosed please find:

- ☒ Form PTO-1533 (copy of Notice to be returned with response);
- ☒ Three Declarations for Utility Patent Application signed by inventors;
- ☒ Three Assignments signed by Inventor with Form PTO-1595;
- ☒ Three Power of Attorney or Authorization of Agent forms signed by inventors;
- ☒ A Power of Attorney or Authorization of Agent forms signed by Michael G. Kahn in his capacity as Vice President of FastTrack Systems, Inc.;
- ☒ Statement Under 37 CFR 3.37(b) with copies of executed Assignments;
- ☒ Submission of Substitute Drawings Under 37 CFR 1.84 along with 41 sheets of substitute drawings;
- ☒ Preliminary Amendment;
- ☒ Information Disclosure Statement;
- ☒ Two pages of Form 1449 and copies of twelve (12) citations.;
- ☒ Supplemental Application Data Sheet;
- ☒ Petition for Extension of Time (one month);
- ☒ Fee Transmittal for FY 2002; and
- ☒ Check No. 1620 in the amount of \$893.00.

2011-01-10 10:10:10

The Commissioner is hereby authorized to charge any additional fees that may be required and to credit any overpayment, to Deposit Account No. 50-0869 (Docket No. FSTK 1004-1US). A duplicate of this paper is enclosed.

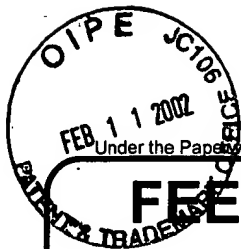
Respectfully submitted,

Date: 1/28/2002

Warren S. Wolfeld
Warren S. Wolfeld, Reg. No. 31,454

HAYNES BEFFEL & WOLFELD LLP
P.O. Box 366
Half Moon Bay, California 94019
Phone: 650-712-0340
Fax: 650-712-0263

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HH
PTO/SB/17 (10-01)
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Approved for use through 10/31/2002. OMB 0651-0032
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$) 893.00

Complete if Known

Application Number	09/974,781
Filing Date	10/10/2001
First Named Inventor	Michael G. Kahn
Examiner Name	Unknown
Group Art Unit	2166
Attorney Docket No.	FSTK 1004-1US

METHOD OF PAYMENT

1. ☐ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number 50-0869

Deposit Account Name Haynes Beffel & Wolfeld LLP

☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

☒ Applicant claims small entity status. See 37 CFR 1.27

2. ☒ Payment Enclosed:

☒ Check ☐ Credit card ☐ Money Order ☐ Other

FEE CALCULATION

1. BASIC FILING FEE

Large Entity Small Entity

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
101	740	201	370	Utility filing fee	370.00
106	330	206	165	Design filing fee	
107	510	207	255	Plant filing fee	
108	740	208	370	Reissue filing fee	
114	160	214	80	Provisional filing fee	

SUBTOTAL (1) (\$) 370.00

2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from below	Fee Paid
51	-20** = 31	9.00	279.00
5	-3** = 2	42.00	84.00
Multiple Dependent			

Large Entity Small Entity

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description
103	18	203	9	Claims in excess of 20
102	84	202	42	Independent claims in excess of 3
104	280	204	140	Multiple dependent claim, if not paid
109	84	209	42	** Reissue independent claims over original patent
110	18	210	9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$) 363.00

**or number previously paid, if greater, For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity Fee Code	Small Entity Fee Code	Fee Description	Fee Paid		
105	130	205	65	Surcharge - late filing fee or oath	65.00
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for <i>ex parte</i> reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	55.00
116	400	216	200	Extension for reply within second month	
117	920	217	460	Extension for reply within third month	
118	1,440	218	720	Extension for reply within fourth month	
128	1,960	228	980	Extension for reply within fifth month	
119	320	219	160	Notice of Appeal	
120	320	220	160	Filing a brief in support of an appeal	
121	280	221	140	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1,280	241	640	Petition to revive - unintentional	
142	1,280	242	640	Utility issue fee (or reissue)	
143	460	243	230	Design issue fee	
144	620	244	310	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Processing fee under 37 CFR 1.17(q)	
126	180	126	180	Submission of Information Disclosure Stmt	
581	40	581	40	Recording each patent assignment per property (times number of properties)	40.00
146	740	246	370	Filing a submission after final rejection (37 CFR § 1.129(a))	
149	740	249	370	For each additional invention to be examined (37 CFR § 1.129(b))	
179	740	279	370	Request for Continued Examination (RCE)	
169	900	169	900	Request for expedited examination of a design application	

Other fee (specify)

*Reduced by Basic Filing Fee Paid

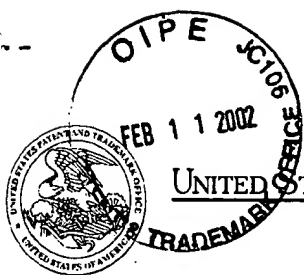
SUBTOTAL (3) (\$) 160.00

SUBMITTED BY

Name (Print/Type)	Warren S. Wolfeld	Registration No. (Attorney/Agent)	31,454	Complete (if applicable)	Telephone	(650) 712-0340
Signature	Warren S. Wolfeld	Date	1/28/2002			

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/974,781	10/10/2001	Michael G. Kahn	FSTK 1004-1

CONFIRMATION NO. 8124

FORMALITIES LETTER



OC000000006981383

22470
HAYNES BEFFEL & WOLFELD LLP
P O BOX 366
HALF MOON BAY, CA 94019

Date Mailed: 10/26/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 370 to complete the basic filing fee for a small entity.
- Total additional claim fee(s) for this application is \$363.
 - \$279 for 31 total claims over 20.
 - \$84 for 2 independent claims over 3 .
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 798.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
 - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

02/14/2002 BNGUYEN1 00000017 09974781

01 FC:201	370.00 OP
02 FC:203	279.00 OP
03 FC:202	84.00 OP
04 FC:205	65.00 OP

09974781-024102

*A copy of this notice **MUST** be returned with the reply.*



Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

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